



Technical Report 4

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Phase diagrams of a simple H-bonded lattice gas obtained with the Cluster Variation Method.

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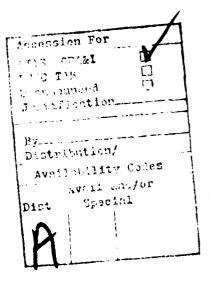
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ABSTRACT

We evaluate the Bell-lattice-model for water by exploring the parameter space by means of the Cluster Variation method of Kihuchi. We use ten order parameters to describe the different occupation of tetrahedrons in the lattice, with and without hydrogen bonds. The phase diagrams are represented in the density-temperature plane and in the pressure temperature plane.

An explanation is given as to why there are first order terminal points in the metastable region. We discuss the 190°-rule and display the ratios of hydrogen bonded pairs versus non-hydrogen bonded pairs.

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1. Introduction

We study the Bell¹) potential for the lattice model of water using the complete cluster variation method. The basic lattice is a bcc lattice and the sites are occupied by oxygen atoms. The hydrogen atoms are oriented along the lines connecting the neighboring atoms. Since the bond angle between two hydrogen atoms is approximately 104°, the hydrogen atoms should lie along those two body diagonals that make the largest angles. This is accomplished by assigning appropriate weight factors. The complete set of tetrahedral configurations, as well as all the sub-clusters, is given in reference 2. We will refer to this model as JWAT for "Junior" Water.

Rather than use the 10 clusters, with the constraint that the total probability should be one, we prefer to introduce the nine independent correlation functions: i.e. all completely occupied clusters and subclusters. (Table 1) All other configuration probabilities can be written as a linear function of these above defined correlation functions.

$$p_i = C_i + \sum_{i=1}^{9} R_{ij}g_i$$

There are 18 configurational probabilities. The factors C_i and R_{ij} are given in Table 2. If the configuration is empty C_i is one, otherwise it is zero. the coefficient R can be obtained from the CR-table in reference 2, by an inversion.

There is an important technical point to mention. In the cluster-variation method one can express each subcluster as a linear combination of larger (sub)clusters. For instance, if on a given point the molecule is oriented in one of the 12 possible ways, this will determine whether this same molecule as partner in a pair, can, or cannot, be hydrogen

bonded. That means a specific choice is made as to whether the linear combination of the y's will contain y_4 (the pair without H-bonding) or y_5 (the pair with H-bonding). In the JWAT model this is not done, instead a linear combination, or average, of these two options is taken. This average is taken with certain combinatorial factors in accordance with the so-called ice rules. The fact that we did not specify the orientation of the molecules will keep the number of cluster variables low, but it will oversimplify the entropy expression and it will of course bar us from any information about the possible rotational ordering of the molecules.

A second simplification we use in the JWAT - model is the half-filled state, i.e. density approximately one half. In this model we find this state at low temperatures by adjusting the energy parameters. Such a state refers to preferred occupation of those clusters that, for a given range of values for the chemical potential, have two sites occupied. It is not specified as to which two out of four sites are occupied, the model again takes an average over two options. 2. Interaction parameters

The lattice model contains three parameters:

 $\mathbf{e}_{\mathbf{p}}$ the pair interaction between nearest neighbors when they are not hydrogen bonded.

e_H the <u>additional</u> pair energy between two neighboring molecules when they are hydrogen bonded.

 $\mathbf{e}_{\mathbf{nnn}}$ the repulsive next-nearest neighbor interaction.

The first two energies are attractive; the symbol stands for the absolute value of a negative quantity. All energies are expressed in units $k_{\rm B}$ and hence have the dimension of a temperature.

The absolute values of the interactions are only important for scaling purposes. Using the principle of corresponding states the topology of the phase diagram depends only on a reduced set of interaction parameters. Generally one divides by the largest (i.e. mostly the nearest neighbor interaction) parameter. In the case of the water-model one has the choice between e_p , or e_H (as Bell used) or $e_p + e_H$, the total binding energy of a hydrogen bonded pair. We prefer the first choice.

In fig. 1 and 2 the potential diagrams for the first two cases are drawn, together with the values and mnemonics of the actual potentials for which computations have been performed. The precise values are collected in Table 3. Potential 10 is the same as potential 16 without hydrogen bond energy. Potentials 16 and 17 have been treated in an extended mean field model by Bell and Salt.³⁾ (our potential 16 is slightly different due to rounding errors; Bell and Salt have $e_p/e_H = 1.45$ and we have $e_p/e_H = 1.450666...$)

There is a general limitation on the choice of potentials, determined by our request that the intermediate state exists. We calculate the generalized pressure from

P= pv= 9 05 - f.

and for T = 0 there are three states possible: S = 0 (vacuum) with P = 0, S = 1/2 (open ice) with P + 1/2 $P + e_p + e_h$ and S = 1 (closed packed) with $P + P + e_p + e_h + 2 e_h + 2 e_h + 3 e_{nnn}$. If we plot P = 1 at P = 0 (compare fig. 3) we find three possible transitions at

$$p_0 = -2(e_p + e_H)$$
 (vac. to when)
 $p_1 = -4(e_p + 2e_H - 3e_{nnn})$ (e.p. to vac.)
 $p_{eq} = -16e_p + 2e_H - 6e_{nnn})$ (ohen to e.p.)

In order to obtain a stable intermediate phase we need

$$P_{eq} = \frac{1}{z} \left(|\nu_{eq} - \nu_o| \right) = -2 \cdot \ell_b + 3 \cdot \ell_{nnu} > 0$$
 this leads to the "forbidden" area in figures 1 and 2. The values of $|\nu_{eq}|$, $|\nu_o|$, $|\nu_e|$, $|\nu_e$

The value of the hydrogen bonding parameter does not come in the above condition, since we compare perfect open ice with the close packed phase. The energy difference between c.p. and open is given by two more nearest neighbor bonds and three more next-nearest neighbor bonds as can be found from an inspection of the lattice structure when it is either half occupied (diamond lattice) or fully occupied (bcc). The hydrogen bond energy does come into play in the P(p) lines for each of the regions below and above P_{eq} , i.e. is in the open and in the c.p. section separately.

3 The entropy at zero temperature

Since in the JWAT model no sublattices are introduced, and no orientational effects are considered, except for the hydrogen bonding, the open and closepacked ices cannot be treated completely correctly by this model. In order to estimate the difference in entropy at low temperature between the various models we computed the entropy for c.p. and open in JWAT. The open ice structure in the JWAT model can be associated with the case that all tetrahedra are in the configuration 4 in reference 2 (or 24 in Table 2).

This cluster has multiplicity 72: 4 due to the distributions of the hydrogen bond on the four nearest neighbor edges of the tetrahedron, and 18 because

of the orientational multiplicity of the hydrogen bond.

The correlation functions of the subclusters are now:

 $p_{16} = 1/48$; $p_{20} = 1/72$; $p_{12} = 1/24$; $p_{11} = 1/4$; $p_{12} = 1/48$; $p_{3} = 1/72$; $p_{10} = 1/2$ and $p_{1} = 1/24$.

Substituting this in the expression for the entropy in units $k_{\rm R}$:

where η_i are the Kikuchi numbers, η_i the (total) weight factors and the sum is over all contributing clusters. The result is:

The close packed state results if all tetrahedra are in configuration 8 in ref 2 (or number 9 in table 1).

the value of the subclusters is now: $p_{\ell} = 1/(1/3)$, $p_{ij} = 1/(1/4)$, $p_{ij} = 1/(1/4)$. This leads to an entropy

The absence of a proper two-sublattice description in the JWAT-model, we obtain a rather large negative value of the entropy of these two phases. The result is that the pressure, as given by

will also be much lower than it would be if the entropy was given correctly. Hence the open and close packed ice phases are underestimated in this model, compared to the liquid/vapour - i.e. the disordered phases. This is most clearly seen by comparing the two sublattice phase diagrams for potentials 12

and 17 with their JWAT counterparts.

It is furthermore interesting to compare the ground state entropy with the results obtained by Bell. Starting with his equation 3.4, i.e.

where \mathcal{W}_{i} are representing the ten tetrahedrons, g_{i} their weight factors and g_{0} given by:

Translated in our language, he took for the Kikuchi numbers the values: 1 for the tetrahedrons and -3 for the points. (and all others zero). That is he considered a collection of N tetrahedrons which overlap only with their vertices, hence 4N points are substracted from the N point terms. The systematic procedure of the CVM is to consider all N tetrahedrons that fill the space completely. These overlap with triangles, second neighbors, first neighbors and point sites and thus is taken into account by:

rather than

as in Bell. It turns out that the large negative contribution comes from the triangular term -12 S $_{tr}$. For comparison we give also the numerical values of the entropy as obtained by Bell's expression.

Sohen =
$$-3 \ln 2 + \frac{1}{2} \ln 3 \approx -1.53$$

Seb = $-3 \ln 2 + \ln 3 \approx 0.93$

Finally we would like to mention that the Pauling estimate for open ice leads to $So = 1/2 \ln 3/2 = 0.2027$ and twice that amount for the c.p. state.

4. <u>Description and Comparison of a Number of Potentials; Density-</u>

Temperature Phase Diagram.

If we look at the C-T plot, the influence of the intermediate state will show up in two different ways. Either we find, at high temperatures a direct transition between the disordered low density state to the close packed state, or we find a transition from the low density state to the intermediate state and subsequently, at somewhat lower temperatures, a transition from the intermediate density state to the close packed state. To make an easy distinction between these two case we will refer to the first case as the one-dome phase diagram, i.e. Q-T diagram, and to the second as the two-dome diagram.

A second characteristic of the S-T plots is the appearance of a "slit", a region near one half density where the S is zero to one transition is interrupted by an intermediate state so that the transition now takes place in two steps, one from density near zero to a density somewhat less than a half and one from a density somewhat more than a half to a density almost one. Although this two step process is similar to the two step process described above in the "two dome" diagram, there is an important distinction, i.e. at high temperatures this transition disappears. The point of disappearance is a triple point since three phases are in equilibrium with each other. We will see later that for certain values two triple points, since the above mentioned "slit" does also occur in certain two-dome Q-T diagrams.

4,1) Two-dome potentials.

Let us start with potentials 12, 15 and 11 that have a relatively large e_{nnn}/e_p and a variable amount of e_H . In potential 12 we have a two-dome (See Fig. 4) structure, i.e. two critical densitites. The low density critical temperature is at 400 K, the high density critical temperature at 350 K. The lower value of the second critical temperature is due to the fact that at these density values the fraction of nearest neighbor bonds that is hydrogen bonded is less, leading to a smaller effective pair interaction.

To obtain the high density transition one needs a higher presure and compared to low density critical temperature this is clarly seen in the P-T plot for this potential (Fig. 5). From this plot it is also clear again that the intermediate phase is open-ended. The upper branch descends and subsequently rises somewhat before it ends in the second critical temperature. This second terminal point causes us to reject this type of phase diagram, since it does not reconcile between this type of result and even a qualitative comparison between this type of result and the observed diagram for water.

A similar set of figures (Fig. 6 and 7) was obtained for potential 15.

At low temperatures it takes considerably more pressure to accomplish the second transistion.

The third potential we want to discuss in this section is number 11. This is still a potential with two domes, but it has a characteristic we will find in many of the one dome potentials: there is a triple point at T_3 . Below T_3 the potential develops a "slit", as described above. T_3 lies at

152.8 K. The composite sketch (Fig. 8) of the T-C results, gives a second triple point T_3 at lower temperatures. Below this temperature we have two transitions again. In the intermediate region $T_3^1 < T < T_3$ we have a direct transition from the low to the high density state. All dashed lines are metastable transitions. Please note that the low-to-high transition extends above T_3 , but ends in a metastable end point T_M . The accompanying pressure temperature plot is given in Fig. 9. The lower branch 1 is related to T_c , the upper branch 2 is related to T_c . The metastable branch that goes up to T_M is just visible above branch number one. The two triple points are on the left.

Finally, we describe potential 18, which is almost a one-dome potential. The density temperature diagram is given in figure 10A qualitatively and in figure 10B quantitatively, but incomplete. The noteworthy difference between figures 10 and 8 is that the metastable states between the two triple points are no longer connected. This means in the P-T plot (see figure 11), that the second branch now consists of two independent parts, one labelled "open/cp" and another labelled liquid (1/2) - liquid (1). This last line extends a little bit below the "vap./liq." line.

At the end of this sub-section we would like to discuss the termination of phase lines in the P-T diagrams. As a rule phase lines can only end in second order critical points (ref. 4) since the double tangent construction at temperatures just below T_c will relate two values of the order parameter say S_1 and S_2 . If we approach T_c , S_1 moves towards S_2 and the two will coincide. Not so for a metastable double transition. Imagine a function of at least the sixth order, chosen symmetric for convenience. In fig. 12a we took the central value larger than the two maxima at the left and the right.

We can make two double tangent construction, leading to a transition from \cent{O}_1 , to \cent{O}_2 and a second transition from \cent{O}_3 to \cent{O}_4 This is the situation below the triple point. At the triple point, the three maxima are of equal height and the tangents coincide: $\cent{O}_2 = \cent{O}_3$. Above (below) the triple point we deal either with a direct transition from \cent{O}_1 to \cent{O}_4 or with two metastable transitions from \cent{O}_1 to \cent{O}_2 and from \cent{O}_3 to \cent{O}_4 , but \cent{O}_2 and \cent{O}_3 appear in the reversed order, i.e.: the tangents cross. This option eventually may disappear (see fig. 12d) when the second derivative in \cent{O}_2 and \cent{O}_3 becomes zero. This is the condition for the terminus of the first order metastable transition. Hence it is incorrect to conclude that if the P-T line ends one is necessarily dealing with a second order transition, at least not for a metastable branch.

4.2 One dome potentials

Potentials 16, 17, 13 and 14 are all of the "one-dome" type, that is there is only one critical point at high temperatures and we find a triple point at lower temperatures, which leads to two phase transitions at a given temperature below this triple point. In the P-T diagram we have a stable vapor-open ice coexisting line and a stable open to close-packed line. Open ice, the state where the density is approximately one half, disappears above the triple point and the only stable coexistence line which is left is the vapor to close packed line, which in this simple model, is indistinguishable from the vapor-liquid line.

Empirically we found that the range of values of $\mathbf{e}_{\mathbf{nnn}}$ that leads to this topology is found in the area of figure 1 below a line given by

and above the horizontal line that guarantees three groundstates, as discussed in section 2.

The general features of the one-dome potential can be seen from 16 and 17, which are almost coinciding. See fig. 13 for the temperature-density plot. This plot does not show that there is a triple point at about 30. Figure 14 is the P-T plot. The same remark holds here; there is a branch at temperatures below 150, the left axis of the figure.

Potential 13 is similar to 16 and 17 but since $e_{\rm H}$ is larger the rectilinear diameter is more bend to the left as can be seen in figure 15. It also has a triple point but at lower temperatures. In potential 14 the triple point lies higher with respect to the critical point. In this potential we have: $T_{\rm C} = 480$ and $T_{\rm 3} = 240$, see figure 16. The relative proximity of $T_{\rm C}$ and $T_{\rm 3}$ makes it inviting to compare this potential with real water. The pressure- temperature plot (figure 17) shows an undercooling branch far into the negative pressures. It is strongly indicated from preliminary reports about the work of R. Speedy 5 that such a curve indeed exists in water that is under tension.

4.3 Evolution of one type into the other

Let us focus on potential 18, figure 10A. This diagram is the lynchpin of our considerations. By imagining changes one can obtain all others. At one hand; if the two triple points move towards each other, first we obtain figure 8 (no metastable terminal points in the center section) and eventually figure 4 a pure two-dome phase diagram, because the two triple points will eliminate each other, as can be seen from a succession of P-T curves. At the other hand if the upper triple points moves higher, the two-dome feature

disappears and we obtain a single critical temperature.

5. Behavior around a triple point. A triple point in the P-T plot means that three branches meet in one point. Such encounters are subject to "The 180°-Rule" (see ref. 6). Each line has a metastable extension given by a dotted line in fig. 18 and one way to express the rule is that the extension of line (should always lie between the lines 2 and 3. Our computations satisfy this requirement.

6. The hydrogen bonding

The subcluster variables y_1 to y_4 denote the fraction of empty nearest neighbor pairs, the fraction of nn pairs that are half occupied and half unoccupied, the fraction of pair sites that are both occupied without, respectively with, hydrogen bondings. It makes more sense to consider either the ratio between hydrogen bonded pairs and bonded pairs: y_3/x_3 .

Plotting of the first ratio is of some advantage since it can easily be checked for its assumption value at low densities:

$$\lim_{g\to 0} \frac{y_4}{y_3+y_4} = \frac{exp(e_H/hT)}{7+exp(e_H/hT)}$$

since the ration y_4/y_3 approaches the appropriate Boltzmann factor. At higher densities this approximation f ails and as a result of the ice rules the number of hydrogen bonded pairs $y_H = y_4$ compared to the number of non-hydrogen bonded pairs $y_{NH} = y_3$ starts to decrease. Each separately does increase, at higher densities, but y_{NH} increase more rapidly.

At higher densities and lower temperatures the oxygens will make as many hydrogen bonds as possible, at the cost of the non-hydrogen bonded pairs, but eventually this can no longer be done in the dissordered phase and this leads

to instability.

Numerical results for the ration (here plotted as y_N/y_H) are given for a "two dome" potential 12 (see figure 19) and for a "one dome" potential, number 14 (see figure 20). The last case illustrates the description we just gave: The number of y_N at very high densities decreases, but at low temperatures this phase is no longer stable. The stable part is near the half density, in the center of the figure.

We also recall the remark made in the first paragraph of section 4.1, i.e. densities around 3/4 the number of H-bonds is less, than at desnities near 1/4, due to poor "accommodation" of the orientation, which leads to a lower critical temperature at the higher density. The most sensible plot is $y_{\rm H}/Q$, but there are not yet available.

7. Phase-diagram at low temperatures.

In this section we consider the behavior of the phase diagram at low, but non-zero, temperatures, in particular potential 14 (see figures 16 and 17). There is an almost horizontal line in the P-T diagram. Below this line we have the vapor phase, above the line we have either the open phase (at low temperatures) or the close packed phase (at temperatures above approximately T=240). The dividing line starts at T=0 and P=260, the "equality" pressure mentioned in section 2. This line, the cp-open transition descends towards the horizontal vapour line and ends at a triple point P₃, T₃. The extension below the axis is a metastable transition.

The descending P-T curve is determined by:

$$\frac{dP}{dT}\Big|_{CORX} = \frac{\partial P}{\partial \rho} \frac{\partial \rho}{\partial T}\Big|_{CORX} + \frac{\partial P}{\partial T} = 9 \frac{\Delta S}{\Delta S} + S = \frac{S'' \circ \gamma'' - S'' \circ S''}{S''' - S'''}$$

Using the values for the entropy computed in section 3 gives

$$\lim_{T\to 0} \left(\frac{\partial P}{\partial T}\right)_{CX} = 2lu(417) < 0$$

This is not the case in the two orientation-two sublattice model, we will discuss in the next report. The negative slope is due to the fact that S open $\langle 1/28_{\rm cp}^{\bullet}$.

In the origin we have actually two lines starting at P=0: One is the vapor close packed which is metastable up to the triple point and one that is related to the vapor-open transition. The last ends at the triple point, at least its stable part, and carries towards that point with a different slope (1800-rule). A simple calculation confirms this. For vapor-open we have

and

At T=0: V vap-open = V and V vap-p = V_1 . The inequality $V_0 < V_1$ leads to

they become equal at the triple point.

Tables

- 1. The nine independent correlation functions of the model, expressed as clusters and subclusters.
- 2. The remaining clusters and subclusters expressed as linear combinations of the nine independent correlation functions.
- 3. Potentials used in this report, unreduced and reduced values of the parameters.

Figures

- 1 The potentials analyzed in this report: reduced by means of E_n.
- 2 Same, reduced by E_{H^*}
- 3 Pressure as function of the chemical potential at T=0.
- 4 Density-temperature plot for potential 12.
- 5 Pressure-temperature plot for potential 13
- 6 Density-temperature plot for potential 15.
- 7 Pressure-temperature plot for potential 15.
- 8 Density-temperature plot for potential 11.
- 9 Pressure-temperature plot for potential 11.
- 10 Density-temperature plot for potential 18.
- 11 P-T plot for potential 18.
- 12 Double tangent construction and metastable states.
- 13 Density-temperature plot for potential 16 and 17.
- 14 Pressure-temperature plot for potentials 16 and 17.
- 15 Density-temperature plot with rectilinear diameter as dashed line for potential 13.
- 16 Density-temperature plot with rectilinear diameter for potential 14.

- 17 Pressure-temperature plot for potential 14.
- 18 Illustration of the 1800-rule.
- 19 The ration of normal bonds to hydrogen bonds (Potential 12).
- 20 The ratio of normal bonds to hydrogen bonds (Potential 14).

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5	14	.520		.416	-2.624	-3.040	.208	-2.832		
6	15	.901		.831	-2.416	-3.301	.693	-3.109		
7	11	1.451		1.211	-3.440	-4.901	.731	-4.171		
8	18	1.451		1.147	-3.824	-4.901	.539	-4.363		
Ŕ	REDUCED BY EPS									
1	13		1.923	.690	-5.708	-5.846	.069	-5.777		
2	12		1.923	.923	-4.308	-5.846	.769	-5.077		
3	16		.689	.689	-3.243	-3.379	.068	-3.311		
4	17		1.110	.703	-4.000	-4.220	.110	-4.110		
5	14		1.923	.800	-5.046	-5.846	.400	-5.446		
6	15		1.110	.923	-2.682	-4.220	.769	-3.451		
7	11		.689	.835	-2.371	-3.379	.504	-2.875		
8	18		.689	.790	-2.636	-3.379	.371	-3.007		
9	10		0.000	.689	-1.864	-2.000	.048	-1.932		

August 11, 1980

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-5.777 -5.076 -3.310 -4.110 -5.446 -3.451 -2.875 -3.007 -1.931	-4.802 -3.702 -2.832 -3.108 -4.170 -4.362	1051	156 163	2051 3540 1722	-2613. -3300. -1801.	<u> </u>

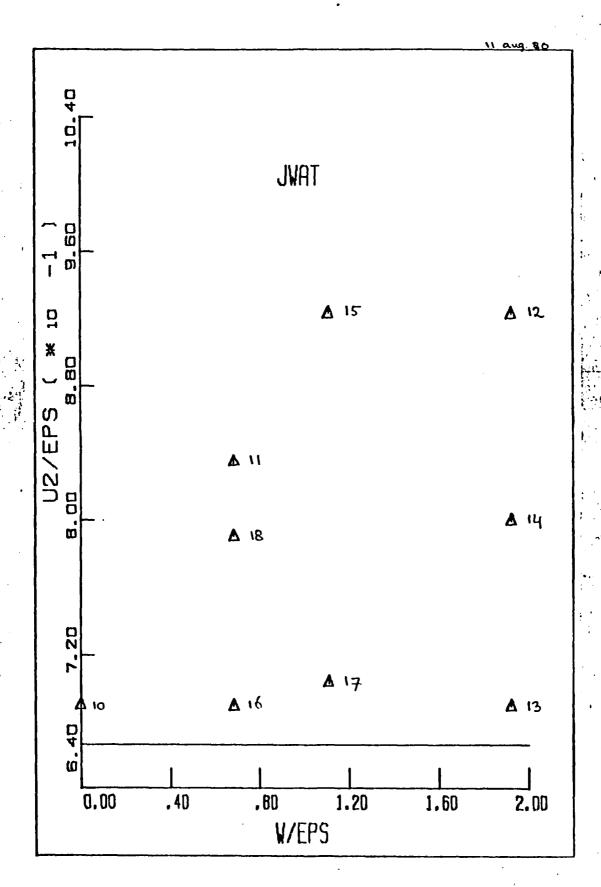
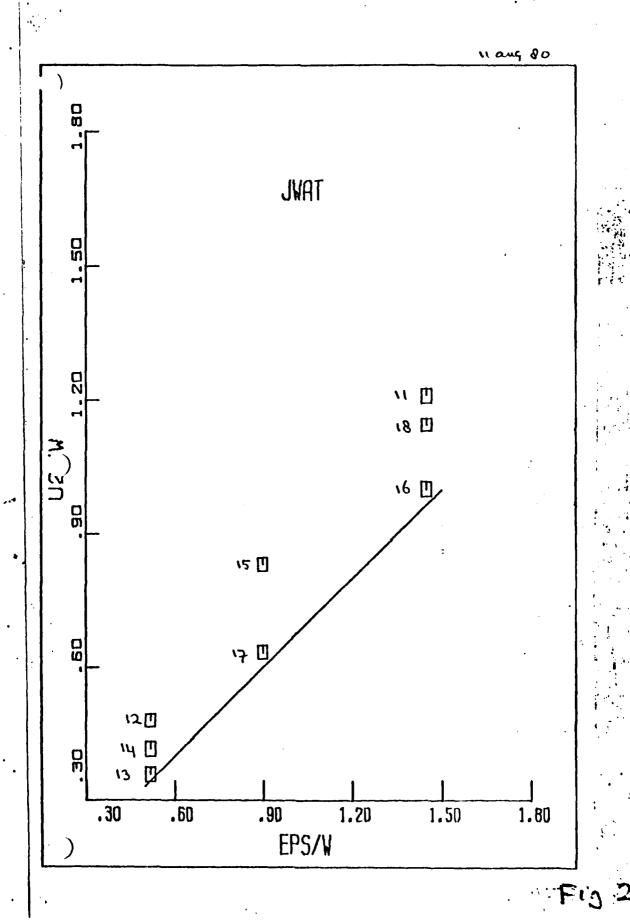
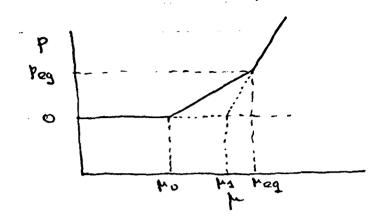
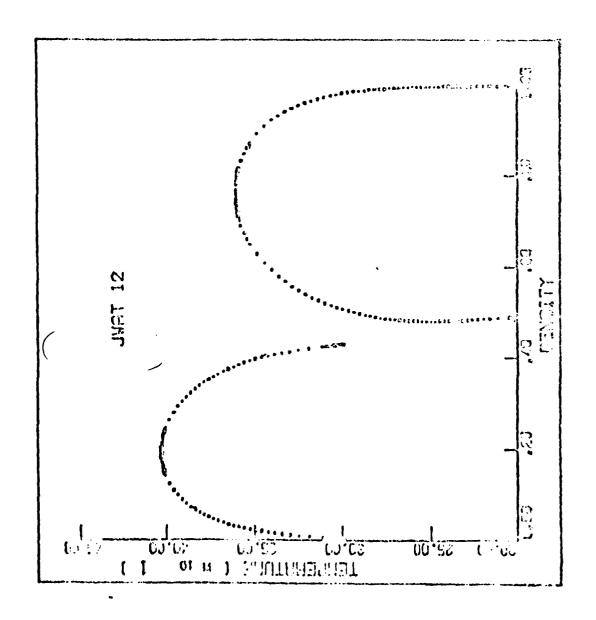


Fig 1

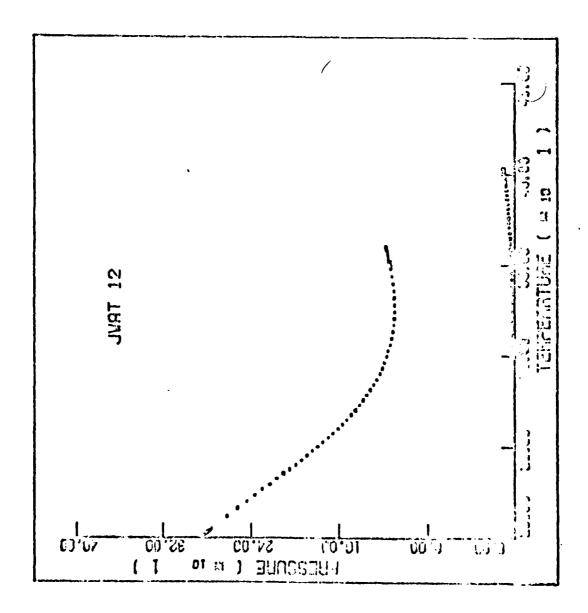




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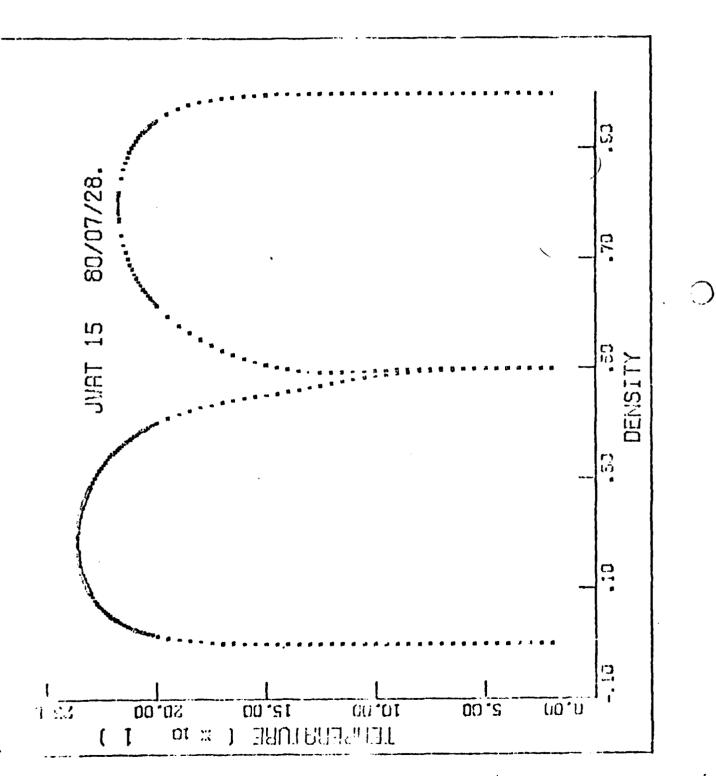


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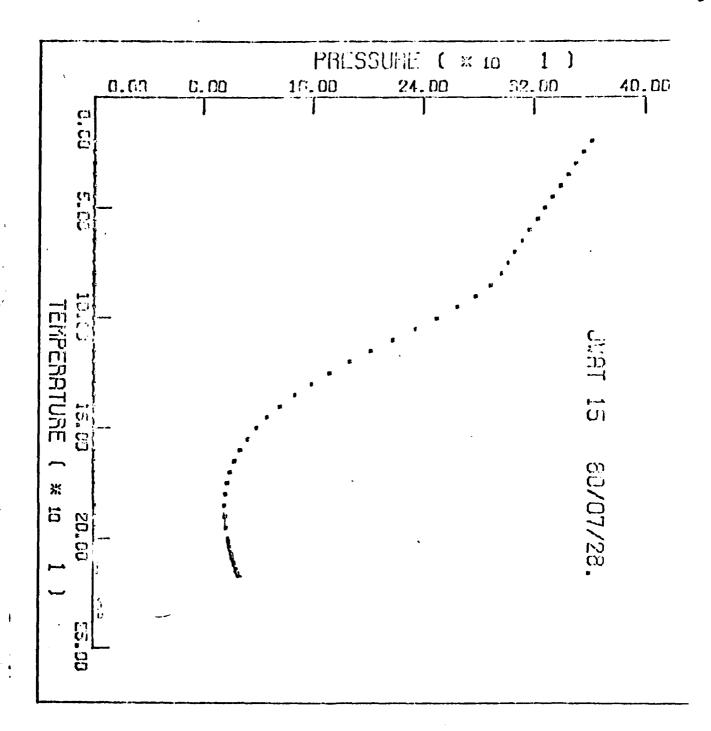
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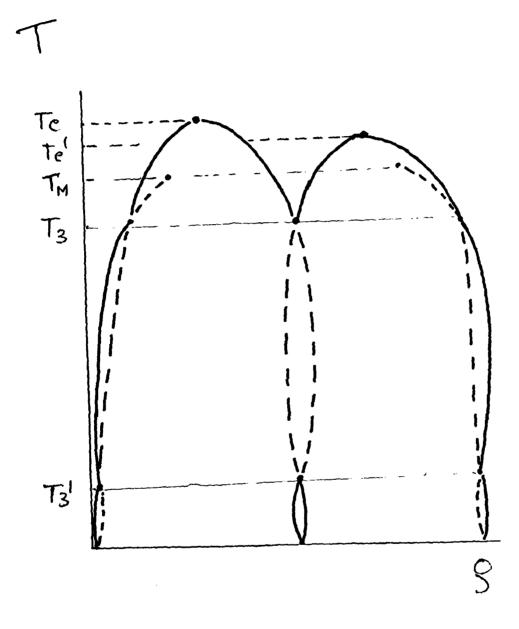


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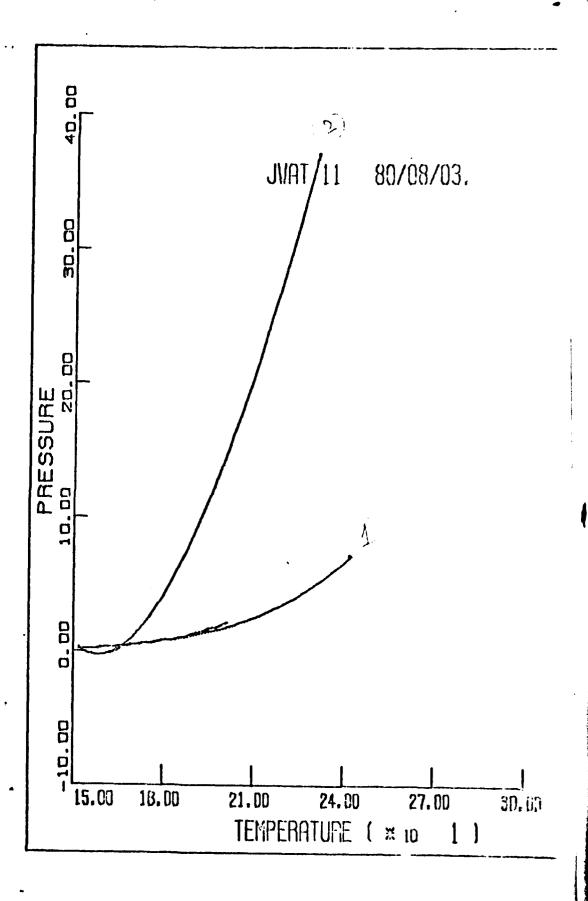
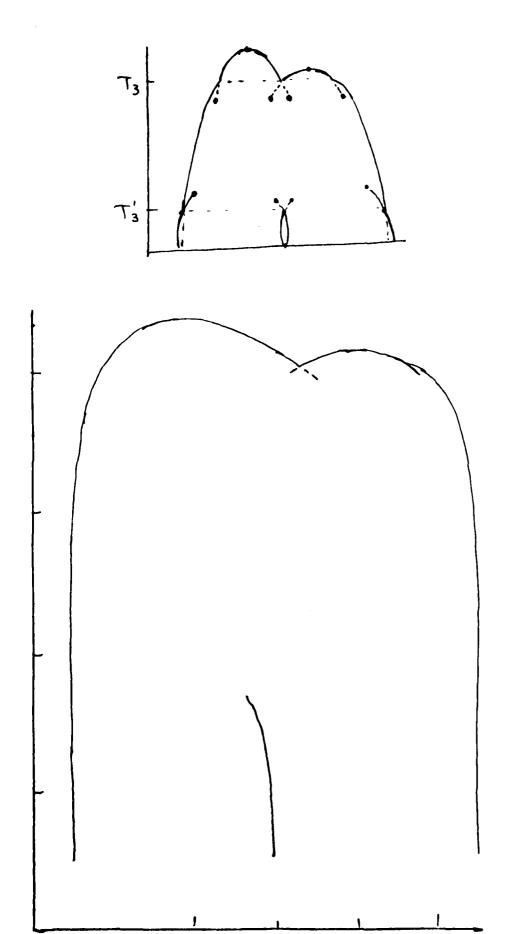
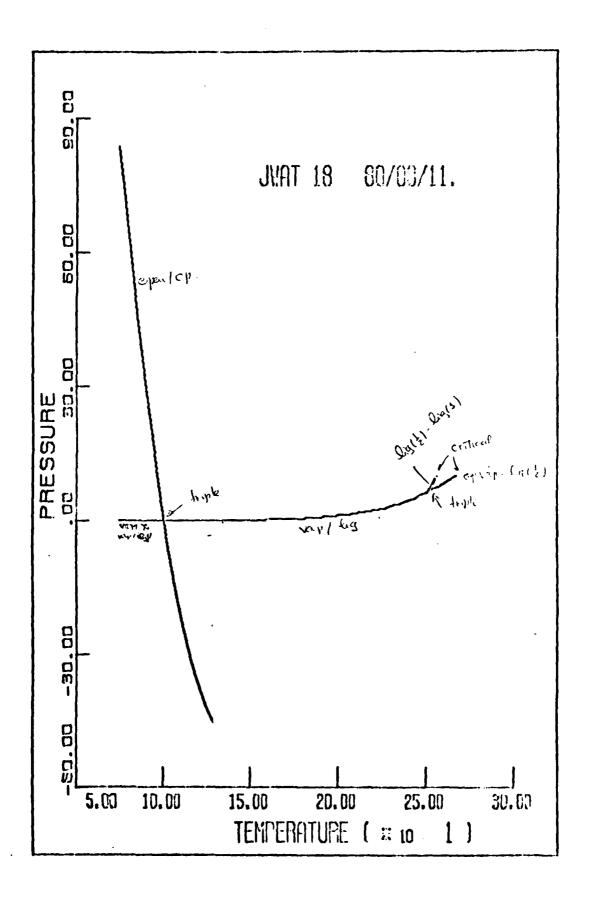


Fig 9



10 A

10 B



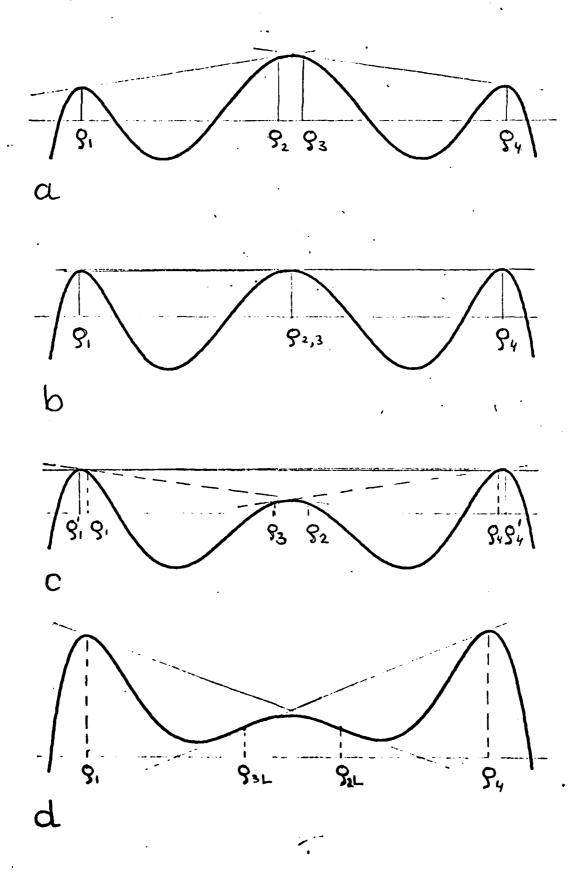
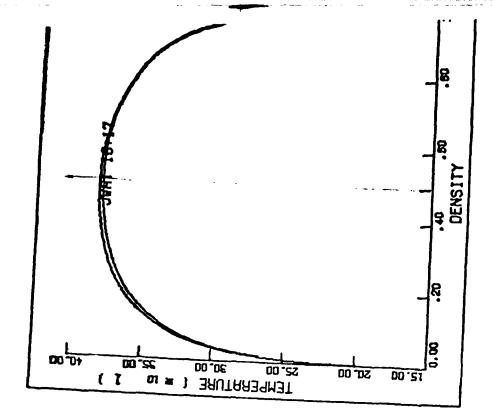


Fig 12





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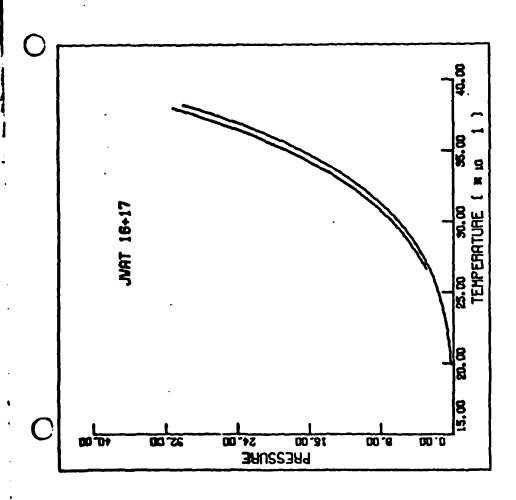
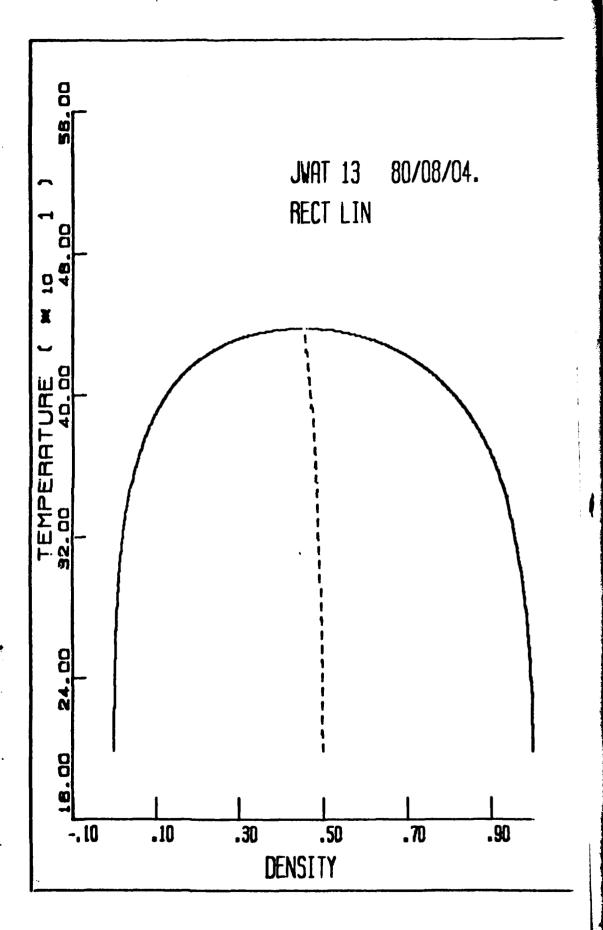
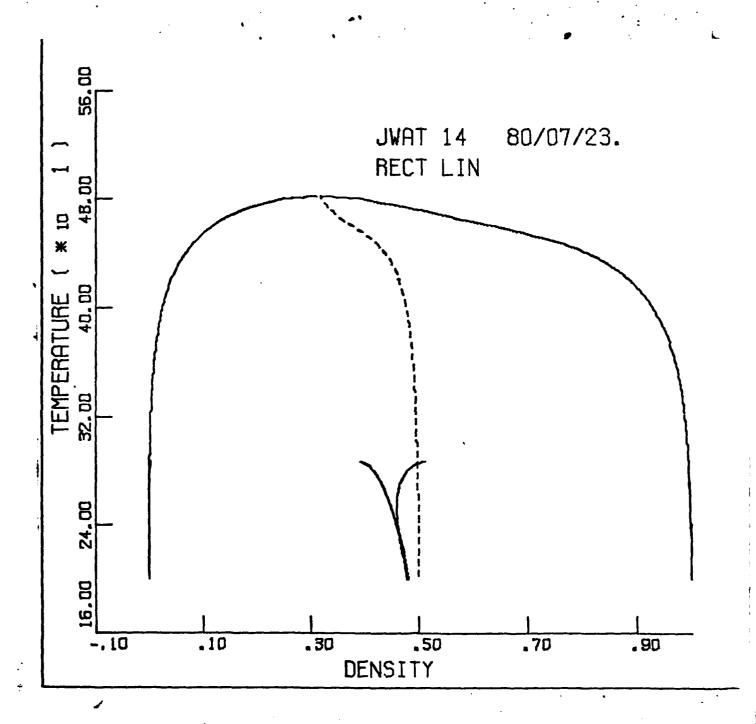


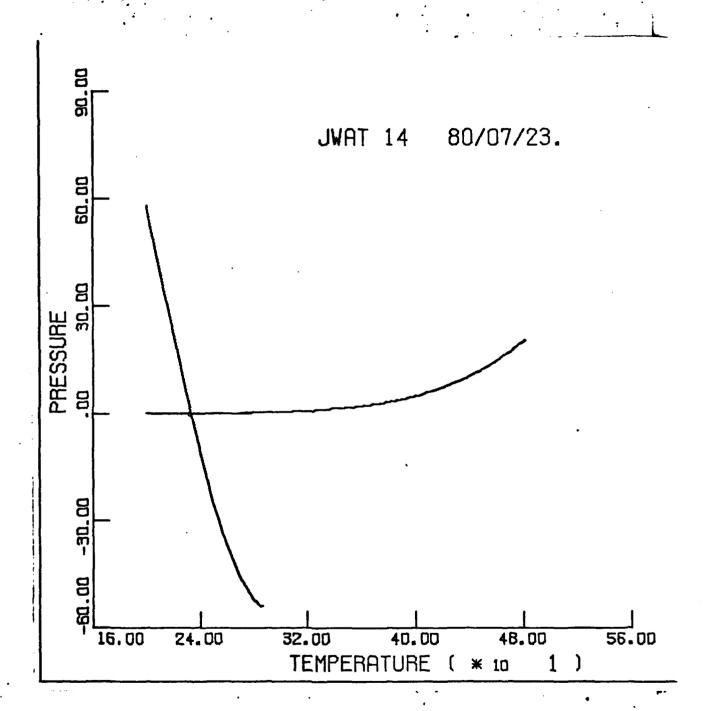
Fig 14



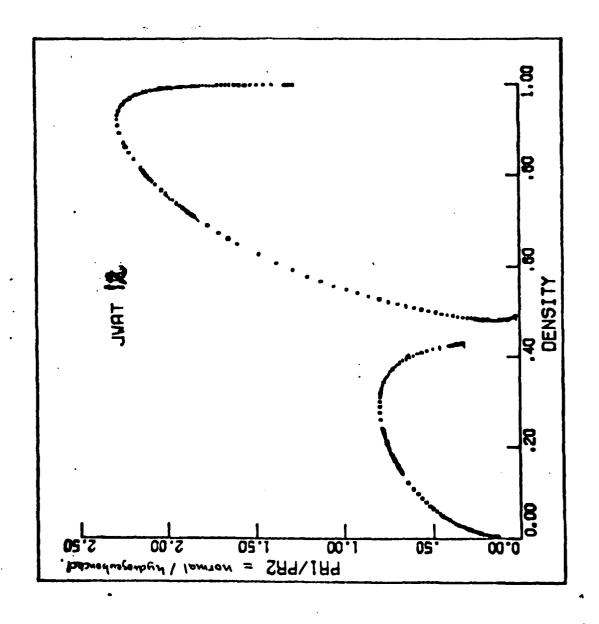
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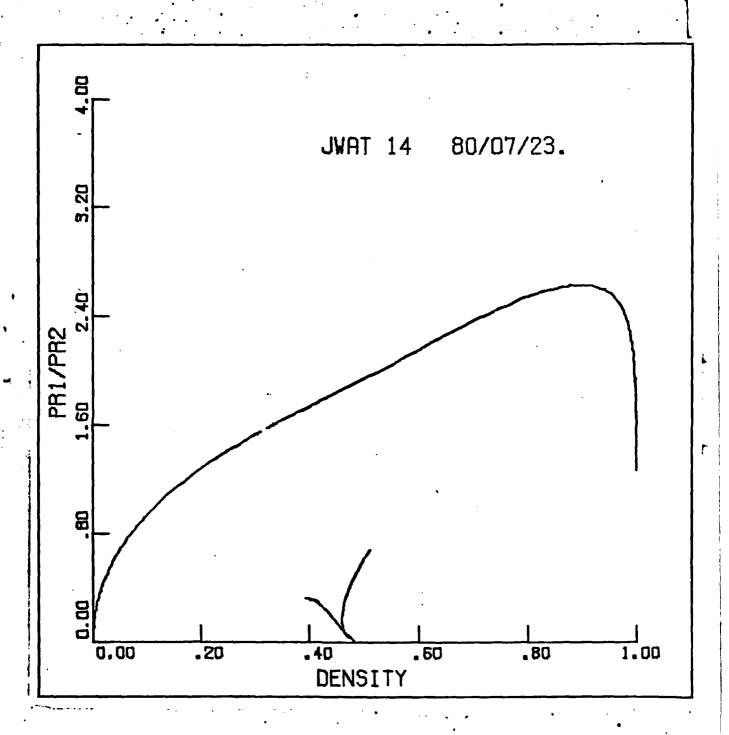


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Fig 19



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